

Attachment – Privacy Notice

FACTS

WHAT DOES ADVISER SOCIUS FAMILY OFFICE, LLC (“SOCIUS”) DO WITH YOUR PERSONAL INFORMATION?

WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
WHAT?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> ▪ Social security number ▪ Income ▪ Assets ▪ Risk tolerance ▪ Transaction history ▪ Employment details
HOW?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons SOCIUS chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does SOCIUS Share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your accounts(s) or respond to court orders and legal investigations.	Yes	No
For our affiliates to market to you	Yes	Yes
For our non-affiliates to market to you	Yes	Yes
For our affiliates' everyday business purposes - information about your transactions and experiences, excluding creditworthiness.	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	Yes	Yes

Questions?	Socius Family Office, LLC Mark J. Baniewicz Chief Compliance Officer, (561) 404-0484
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Who we are

Who is providing this notice?

SOCIUS, & its affiliates, Alterna Financial, LLC, Alterna Capital Management, LLC, Alterna Tax Asset Group and Alterna Insurance Group, LLC.

What we do

How does SOCIUS protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does SOCIUS collect my personal information?

We collect your personal information, for example, when you:

- Enter into an investment advisory contract
- Seek financial advice
- Make deposits or withdrawals from your account
- Tell us about your investment or retirement portfolio
- Give us your employment history

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *SOCIUS is affiliated with, Alterna Financial, LLC, Alterna Capital Management, LLC, Alterna Tax Asset Group and Alterna Insurance Group, LLC.*

Non-affiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

- *Non-affiliates we can share with include Broker-Dealers, Investment Managers, Custodians and Fund Administrators.*

Joint Marketing

A formal agreement between non-affiliated financial companies that together market financial products or services to you.

- *SOCIUS does not jointly market.*